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APPLICATION N	Ю.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/838,397		04/19/2001	Leif Linde	64645-1049	9378
27045	7590	07/29/2004		EXAM	INER
	ON INC. GACY DRIV	/F	YOUNG, JOHN L		
M/S EVR C11				ART UNIT	PAPER NUMBER
PLANO,	TX 75024		3622		
				DATE MAILED: 07/29/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
	09/838,397	LINDE, LEIF			
Office Action Summary	Examiner [\]	Art Unit			
	John L Young	3622			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet t	with the correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	within the statutory minimum of the ill apply and will expire SIX (6) MC cause the application to become a	a reply be timely filed nirty (30) days will be considered timely. DNTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 19 Ap	oril 2001.				
2a) ☐ This action is FINAL . 2b) ☑ This	This action is FINAL . 2b)⊠ This action is non-final.				
3) Since this application is in condition for allowar	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4) ☐ Claim(s) 1-23 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-23 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or	vn from consideration.				
Application Papers					
9) The specification is objected to by the Examine					
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correcti 11) The oath or declaration is objected to by the Ex		• • • • • • • • • • • • • • • • • • • •			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list of	s have been received. s have been received in ity documents have been (PCT Rule 17.2(a)). of the certified copies no	Application No n received in this National Stage			
JOHN LEONARD YOUNG, PRIMARY EXAMINER Attachment(s)	ESC.	211-04/			
1) Notice of References Cited (PTO-892)	4) \prod Interview	Summary (PTO-413)			
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 7/26/2004. 	Paper No	o(s)/Mail Date Informal Patent Application (PTO-152)			

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FIRST ACTION REJECTION

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(Paper#7/26/2004)

DRAWINGS

1. This application has been filed with drawings that are considered informal, however, said drawings are acceptable for examination and publication purposes. The review process for drawings that are included with applications on filing has been modified in view of the new requirement to publish applications at eighteen months after the filing date of applications, or any priority date claimed under 35 U.S.C. §§119, 120, 121, or 365.

CLAIM REJECTIONS — 35 U.S.C. §101

35 U.S.C. §101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requirements of this title.

2. Claims 1-9 & 17-23 are rejected under 35 U.S.C. 101, because said claims are directed to non-statutory subject matter.

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As per independent claims 1 & 17, said claims are As per claim 1, as drafted said claim is not limited by language within the technological arts (see *In re Waldbaum*, 173 USPQ 430 (CCPA 1972); *In re Musgrave*, 167 USPQ 280 (CCPA 1970) and *In re Johnston*, 183 USPQ 172 (CCPA 1974) also see MPEP 2106 IV 2(b), even though said claims are limited by language to a useful, concrete and tangible application (See *State Street v. Signature financial Group*, 149 F.3d at 1374-75, 47 USPQ 2d at 1602 (Fed Cir. 1998); *AT&T Corp. v. Excel*, 50 USPQ 2d 1447, 1452 (Fed. Cir. 1999) within the technological arts (see *In re Waldbaum*, 173 USPQ 430 (CCPA 1972); *In re Musgrave*, 167 USPQ 280 (CCPA 1970) and *In re Johnston*, 183 USPQ 172 (CCPA 1974) also see MPEP 2106 IV 2(b).

Note: it is well settled in the law that "[although] a claim should be interpreted in light of the specification disclosure, it is generally considered improper to read limitations contained in the specification into the claims. See *In re Prater*, 415, F.2d 1393, 162 USPQ 541 (CCPA 1969) and *In re Winkhaus*, 527 F.2d 637, 188 USPQ 129 (CCPA 1975), which discuss the premise that one cannot rely on the specification to impart limitations to the claims that are not recited in the claims." (See MPEP 2173.05(q)).

Claims 2-8 & 18-23 are rejected for substantially the same reason as claim1 & 17 respectively, because said claims depend from claims 1 & 17 or subsequent

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base claims which depend from claims 1 & 17.

CLAIM REJECTIONS -35 U.S.C. §103(a)

The following is a quotation of 35 U.S.C. §103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 1-23 are rejected under 35 U.S.C. §103(a) as being obvious over Dedrick US 5,724,521 (03/03/1998) (herein referred to as "Dedrick").

As per claim 1, <u>Dedrick</u> (the col. 3, ll. 28-67) discloses the advertising system "may be connected . . . as part of an overall wide area network (WAN). . . . " In this instance the Examiner interprets a wide area network as suggesting the Internet.

Dedrick (col. 18, ll. 1-10) discloses the advertising system "customize the contents

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of the information for consumption by the individual end users."

Dedrick (the ABSTRACT; FIG. 1; FIG. 2; FIG. 3; FIG. 4; FIG. 5; FIG. 6a; FIG. 6b; FIG. 7a; FIG. 7b; col. 1, ll. 7-67; col. 2, ll. 1-20; col. 2, ll. 45-67; col. 3, ll. 1-67; col. 4, ll. 1-67; col. 5, ll. 1-67; col. 6, ll. 1-67; col. 7, ll. 1-67; col. 8, ll. 1-67; col. 9, ll. 1-67; col. 10, ll. 1-67; col. 11, ll. 1-67; col. 12, ll. 1-17; col. 12, ll. 66-67; col. 13, ll. 1-12; col. 13, ll. 63-67; col. 14, ll. 1-13; col. 15, ll. 4-14; col. 15, ll. 46-64; col. 16, ll. 19-67; col. 17, ll. 1-35; col. 17, ll. 55-67; col. 18, ll. 1-10; and col. 18, ll. 34-64) shows: "A method for simulating the distribution of a promotion comprising the steps of: accessing target subscriber information for the promotion; selecting one or more subscribers to receive the promotion based on the target subscriber information and subscriber information associated with each subscriber; calculating one or more statistics regarding the selected subscribers; and providing the one or more statistics to a user."

<u>Dedrick</u> lacks an explicit recitation of "simulating the distribution of a promotion.
...", even though <u>Dedrick</u> (col. 10, ll. 45-67) suggests same.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art that the disclosure of <u>Dedrick</u> (the ABSTRACT; FIG. 1; FIG. 2; FIG. 3; FIG. 4; FIG. 5; FIG. 6a; FIG. 6b; FIG. 7a; FIG. 7b; col. 1, Il. 7-67; col. 2, Il. 1-20; col. 2, Il. 45-67; col. 3, Il. 1-67; col. 4, Il. 1-67; col. 5, Il. 1-67; col. 6, Il. 1-67; col. 7, Il. 1-67; col. 8, Il. 1-67; col. 9, Il. 1-67; col. 10, Il. 1-67; col. 11, Il. 1-67; col. 12, Il. 1-17; col. 12, Il. 66-67; col. 13, Il. 1-12; col. 13, Il. 63-67; col. 14, Il. 1-13; col. 15, Il. 4-14; col. 15, Il. 46-64; col. 16, Il. 19-67; col. 17, Il. 1-35; col. 17, Il. 55-67; col. 18, Il. 1-10; and col. 18,

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Il. 34-64) implicitly shows "simulating the distribution of a promotion. . . ." and it would have been obvious to modify and interpret the disclosure of <u>Dedrick</u> cited above as showing "simulating the distribution of a promotion. . . ." because modification and interpretation of the cited disclosure of <u>Dedrick</u> would have provided broad means for "electronic advertisers to target specific audiences which they believe would be most receptive to their advertisements. . . . " (see <u>Dedrick</u> (col. 1, ll. 50-57)), based on the motivation to modify <u>Dedrick</u> so as to "[provide] electronic advertisements to end users in a consumer best-fit pricing manner. . . . " (See <u>Decrick</u> (col. 1, ll. 60-65)).

As per claims 2-8, <u>Dedrick</u> shows the method of claim 1.

Dedrick (the ABSTRACT; FIG. 1; FIG. 2; FIG. 3; FIG. 4; FIG. 5; FIG. 6a; FIG. 6b; FIG. 7a; FIG. 7b; col. 1, ll. 7-67; col. 2, ll. 1-20; col. 2, ll. 45-67; col. 3, ll. 1-67; col. 4, ll. 1-67; col. 5, ll. 1-67; col. 6, ll. 1-67; col. 7, ll. 1-67; col. 8, ll. 1-67; col. 9, ll. 1-67; col. 10, ll. 1-67; col. 11, ll. 1-67; col. 12, ll. 66-67; col. 13, ll. 1-12; col. 13, ll. 63-67; col. 14, ll. 1-13; col. 15, ll. 4-14; col. 15, ll. 46-64; col. 16, ll. 19-67; col. 17, ll. 1-35; col. 17, ll. 55-67; col. 18, ll. 1-10; and col. 18, ll. 34-64) implicitly shows the elements and limitations of claims 2-8.

<u>Dedrick</u> lacks explicit recitation of the elements of claims 2-8, even though <u>Dedrick</u> implicitly shows same.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art that the disclosure of <u>Dedrick</u> cited above implicitly shows the

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elements and limitations of claims 2-8 and it would have been obvious to modify and interpret the disclosure of <u>Dedrick</u> cited above as showing the limitations of claims 2-8 because modification and interpretation of the cited disclosure of <u>Dedrick</u> would have provided broad means for "electronic advertisers to target specific audiences which they believe would be most receptive to their advertisements. . . . " (see Dedrick (col. 1, 11. 50-57)), based on the motivation to modify <u>Dedrick</u> so as to "[provide] electronic advertisements to end users in a consumer best-fit pricing manner. . . . " (See Decrick (col. 1, ll. 60-65)).

Independent claim 9 is rejected for the same reasons as independent claim 1.

Dependent claims 10-16 are rejected for the same reasons as dependent claims 2-8.

Independent claim 17 is rejected for substantially the same reasons as independent claim 1.

Dependent claims 18-21 are rejected for substantially the same reasons as dependent claims 10-13.

As per claim 22, <u>Dedrick</u> shows the system of claim 17.

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Dedrick (the ABSTRACT; FIG. 1; FIG. 2; FIG. 3; FIG. 4; FIG. 5; FIG. 6a; FIG. 6b; FIG. 7a; FIG. 7b; col. 1, ll. 7-67; col. 2, ll. 1-20; col. 2, ll. 45-67; col. 3, ll. 1-67; col. 4, ll. 1-67; col. 5, ll. 1-67; col. 6, ll. 1-67; col. 7, ll. 1-67; col. 8, ll. 1-67; col. 9, ll. 1-67; col. 10, ll. 1-67; col. 11, ll. 1-67; col. 12, ll. 1-17; col. 12, ll. 66-67; col. 13, ll. 1-12; col. 13, ll. 63-67; col. 14, ll. 1-13; col. 15, ll. 4-14; col. 15, ll. 46-64; col. 16, ll. 19-67; col. 17, ll. 1-35; col. 17, ll. 55-67; col. 18, ll. 1-10; and col. 18, ll. 34-64) implicitly shows the elements and limitations of claim 22.

<u>Dedrick</u> lacks explicit recitation of the elements of claim 22, even though <u>Dedrick</u> implicitly shows same.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art that the disclosure of <u>Dedrick</u> cited above implicitly shows the elements and limitations of claims 22 and it would have been obvious to modify and interpret the disclosure of <u>Dedrick</u> cited above as showing the limitations of claims 22 because modification and interpretation of the cited disclosure of <u>Dedrick</u> would have provided broad means for "electronic advertisers to target specific audiences which they believe would be most receptive to their advertisements. . . . " (see <u>Dedrick</u> (col. 1, 1l. 50-57)), based on the motivation to modify <u>Dedrick</u> so as to "[provide] electronic advertisements to end users in a consumer best-fit pricing manner. . . ." (See <u>Decrick</u> (col. 1, 1l. 60-65)).

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Dependent claim 23 is rejected for substantially the same reasons as dependent claim 15.

CONCLUSION

4. Any response to this action should be mailed to:

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Any response to this action may be sent via facsimile to either:

(703)305-7687 (for formal communications EXPEDITED PROCEDURE) or

(703) 305-7687 (for formal communications marked AFTER-FINAL) or

(703) 746-7240 (for informal communications marked PROPOSED or DRAFT).

Hand delivered responses may be brought to:

Seventh Floor Receptionist Crystal Park V 2451 Crystal Drive Arlington, Virginia.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John L. Young who may be reached via telephone at (703) 305-3801. The examiner can normally be reached Monday through Friday between 8:30 A.M. and 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric Stamber, may be reached at (703) 305-8469.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-

3900.

ohn L. Young

Patent Examiner

JOHN LEONARD YOUNG, ESG. PRIMARY EXAMINER

July 26, 2004